



Public Defense Pilot Program

Application Packet

Release Date: November 17, 2023

Proposals Due: January 5, 2024

Cohort III Grant Period:
March 2, 2024 to March 1, 2025

Full Grant Period:
March 2, 2022 to March 1, 2025



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Public Defense Pilot Program

PROPOSAL PACKAGE COVER SHEET

Submitted by:

Santa Cruz County

Date Submitted:

August 30, 2024

Proposal Checklist

A completed proposal package for the Public Defense Pilot Program includes the following:

	Required Items:	✓
1	Cover Sheet (previous page) <ul style="list-style-type: none"> Insert Applicant Name and Date of Submission 	X
2	Proposal Checklist (current page) <ul style="list-style-type: none"> Signed by the authorized signatory with a digital signature OR a wet signature in blue ink. 	X
3	Applicant Information Form <ul style="list-style-type: none"> Signed by the authorized signatory with a digital signature OR a wet signature in blue ink. 	X
4	Proposal Narrative <ul style="list-style-type: none"> 3 pages or less 	X
5	Proposal Budget <ul style="list-style-type: none"> Complete BSCC Budget template 	X
6	Project Work Plan	X
	Optional:	
	Governing Board Resolution (resolved 1/9/2024) Note: The Governing Board Resolution is due prior to contract execution but is not required at the time of proposal submission.	X

I have reviewed this checklist, placed a check mark next to each item, and verified that all required items are included in this proposal packet.

X

DocuSigned by:

 9091108FEB54FA
 Applicant Authorized Signature (see Applicant Information Form, Part L, next page)

Applicant Information Form: Instructions

- A. Applicant:** Complete the required information for the local government submitting the form (i.e., <NAME> County).
- B. Tax Identification Number:** Provide the tax identification number of the Applicant.
- C. Project Title:** Provide the title of the project.
- D. Project Summary:** Provide a summary (100-150 words) of the proposal. Note: this information will be posted to the BSCC's website for informational purposes.
- E. Grant Funds Requested:** Reference the Proposal Instructions Packet for funding by County (see Appendix C or Page 2).
- F. Penal Code Section:** Identify the specific section(s) of the Penal Code the proposal will address. Funds must be utilized for indigent defense providers, including public defenders, alternate defenders, and other qualifying entities that provide indigent defense in criminal matters for the purposes of workload associated with the provisions in paragraph (1) of subdivision (d) of Section 1170 of, and Sections 1170.95, 1473.7, and 3051 of, the Penal Code.
- G. Project Director:** Provide the name, title and contact information for the individual responsible for oversight and management of the project. This person must be an employee of the Grantee.
- H. Financial Officer:** Provide the name, title and contact information for the individual responsible for fiscal oversight and management of the project. Typically, this is the individual that will certify and submit invoices. This person must be an employee of the Grantee.
- I. Day-to-Day Project Contact:** Provide the name, title and contact information for the individual who serves as the primary contact person for the grant. Typically, this individual has day-to-day oversight for the project.
- J. Day-to-Day Fiscal Contact:** Provide the name, title and contact information for the individual who serves as the primary contact person for fiscal matters related to the grant. This may be the individual who prepares the invoices for approval by the Financial Officer.
- K. Authorized Signature:** Complete the required information for the person authorized to sign for the Applicant. This individual must read the assurances under this section, then sign and date in the appropriate fields.

Applicant Information Form


A. APPLICANT < Name> County		B. TAX IDENTIFICATION NUMBER	
NAME OF APPLICANT Santa Cruz County		TAX IDENTIFICATION #: 946000534	
STREET ADDRESS 420 May Ave	CITY Santa Cruz	STATE CA	ZIP CODE 95060
MAILING ADDRESS (if different)	CITY	STATE	ZIP CODE
C. PROJECT TITLE:	Santa Cruz County Public Defender Pilot Program		
D. PROJECT SUMMARY (100-150 words):			
<p>The Santa Cruz County Office of the Public Defender (PDO) will use Public Defender Pilot Program (PDPP) funds to continue to identify eligible clients, refine model practices developed through Cohort I and II, train staff, sustain a Post-Conviction Relief (PCR) Team to specialize in grant-related advocacy. The PDO will use PDPP funds to provide services in all four eligible areas including Penal Code sections 1172.1, 1172.6, 1473.7 and 3051. The PCR team and their work will be augmented by additional lawyers, paralegals, administrative staff and holistic defense team members. All PDO staff will receive training in the applicable provisions as part of onboarding with PDO.</p>			
E. GRANT FUNDS REQUESTED:		F. Penal Code(s) Addressed:	
\$ 316,640		172.1, 1172.6, 1473.7 and 3051	
G. PROJECT DIRECTOR:			
NAME Heather Rogers	TITLE Public Defender	TELEPHONE NUMBER (831) 454-5301	
STREET ADDRESS 420 May Ave		FAX NUMBER (831) 454-5360	
CITY Santa Cruz	STATE CA	ZIP CODE 95060	EMAIL ADDRESS Heather.rogers@santacruzcountycalifornia.gov
H. FINANCIAL OFFICER:			
NAME Athena Reis	TITLE Deputy Chief Public Defender	TELEPHONE NUMBER (831) 454 - 5372	
STREET ADDRESS 420 May Ave		FAX NUMBER (831) 454-5360	
CITY Santa Cruz	STATE CA	ZIP CODE 95060	EMAIL ADDRESS Athena.reis@santacruzcountycalifornia.gov
PAYMENT MAILING ADDRESS (if different)	CITY	STATE	ZIP CODE
I. DAY-TO-DAY PROGRAM CONTACT:			
NAME Michelle Lipperd	TITLE Collateral Consequences & Reentry Supervisor	TELEPHONE NUMBER (831) 454 -5354	
STREET ADDRESS 420 May Ave		FAX NUMBER (831) 454 -5360	
CITY Santa Cruz	STATE CA	ZIP CODE 95060	EMAIL ADDRESS Michelle.lipperd@santacruzcountycalifornia.gov

J. DAY-TO-DAY FISCAL CONTACT:

NAME	TITLE	TELEPHONE NUMBER
Suzanne Willis	Analyst	(831) 454-5259
STREET ADDRESS	FAX NUMBER	
420 May Ave	(831) 454-5360	
CITY	STATE	ZIP CODE
Santa Cruz	CA	95060
	EMAIL ADDRESS	
	Suzanne.willis@santacruzcountycalifornia.gov	

K. AUTHORIZED SIGNATURE

By signing this application, I hereby certify that I am vested by the Applicant with the authority to enter into contract with the BSCC, and that the grantee and any subcontractors will abide by the laws, policies and procedures governing this funding.

NAME OF AUTHORIZED OFFICER	TITLE	TELEPHONE NUMBER	EMAIL ADDRESS
Athena Reis (for Heather Rogers)	Deputy Chief Public Defender	(831) 454-5301	Athena.reis@santacruzcountycalifornia.gov
STREET ADDRESS	CITY	STATE	ZIP CODE
420 May Ave	Santa Cruz	CA	95060
EMAIL ADDRESS Athena.reis@santacruzcountycalifornia.gov			
APPLICANT'S SIGNATURE (Signed by the authorized signatory with a digital signature <u>OR</u> a wet signature in blue ink.)			DATE
X  <small>DocuSigned by:</small> <small>96D1146CEFD54EA...</small>			8/30/2024

Authorized Signature: Must be a representative with the authority to sign documents and obligate the applicant

CONFIDENTIALITY NOTICE

All documents submitted as a part of the Public Defense Pilot Program are public documents and may be subject to a request pursuant to the California Public Records Act. The BSCC, as a state agency, may have to disclose these documents to the public. The BSCC cannot ensure the confidentiality of any information submitted in or with this proposal. (Gov. Code, §§ 6250 et seq.)

Proposal Narrative

The Proposal Narrative section may not exceed **three (3) numbered** pages and must be submitted in Arial 12-point font with one-inch margins on all four sides and at 1.5-line spaced.

Proposal Budget

Applicants must provide a 12-month budget covering March 2, 2024 to March 1, 2025. To access the Public Defense Pilot Program Budget Microsoft Excel Template, click **here**.

PDO is submitting a revised budget to include additional funds and to reflect the extended grant period to December 31, 2026.

Project Work Plan

This Project Work Plan identifies measurable goals and objectives, activities and services, the responsible parties and a timeline. Completed plans should (1) identify the project's **top goals and objectives** (minimum of two); (2) identify how the top goals will be achieved in terms of the activities, responsible staff/partners, and start and end dates; and (3) provide goals and objectives with a clear relationship to the need and intent of the grant. Please provide a project workplan in the below fields.

(1) Goal:		High functioning PCR Team	
Objectives (A., B.)	A. Maintain dedicated Post-Conviction Relief Team (PCR Team) to provide and track grant-related services. B. Ensure that PCR Team has the education, skills, resources and support to efficiently and effectively provide services.		
Project activities that support the identified goal and objectives:	Responsible staff/partners	Timeline	
		Start Date	End Date
1. Maintain attorney, investigator, paralegals and social workers on PCR Team. 2. Provide ongoing training to PCR Team to continue to grow competency and skills around grant-related services. 3. Track activities	1. Public Defender 2. Training, Equity & Development Director (IV) 2/3. Collateral Consequences, Reentry & Post-Conviction Relief Supervisor (IV)	March 2, 2024	Dec 31, 2026

(2) Goal:		Knowledgeable PDO staff	
Objectives (A., B., etc.)	A. Ensure all relevant PDO Staff understand the grant-related PCR provisions and how to use them to represent PDO Clients.		
Project activities that support the identified goal and objectives:	Responsible staff/partners	Timeline	
		Start Date	End Date

1. Refine tailored training program for attorneys, investigators, social workers, paralegals and administrative staff. 2. Continue to provide comprehensive overview training to all PDO professional staff. 3. Provide in house and/or external quarterly training to PCR Team and annual training to all PDO staff to stay current on new law and emerging model practices.	1./ 2./3. Training, Equity & Development Director (IV) and Collateral Consequences, Reentry & Post-Conviction Relief Supervisor (IV)	March 2, 2024	Dec 31, 2026
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(3) Goal:		Ensure identification of all eligible clients	
Objectives (A., B., etc.)		A. Continue to refine processes for identifying potentially eligible clients B. Inform all potentially eligible clients of rights and how relief might benefit them C. Help clients get grant-related PCR services	
Project activities that support the identified goal and objectives:		Responsible staff/partners	
1.Stay up-to-date on model practices and changes to laws identifying potentially eligible clients.		1. Collateral Consequences, Reentry & Post-Conviction Relief Supervisor (IV)	
2. Contact potentially eligible clients and inform them of their PCR rights.		2./3./4. PCR Team (attorneys, investigators, social workers, paralegals) Managed by Collateral Consequences, Reentry & Post-Conviction Relief Supervisor (IV)	
3. Assist interested clients with getting services by helping them petition the court for appointment of counsel or assisting with the intake and application process.			
4. Track efforts and outcomes.			
		Timeline	
		Start Date	End Date
		March 2, 2024	Dec 31, 2026

(4) Goal:	Provide exceptional PCR representation
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Objectives (A., B., etc.)	A. Provide the maximum possible number of clients with exceptional representation in the grant-related areas.		
Project activities that support the identified goal and objectives:	Responsible staff/partners	Timeline	
		Start Date	End Date
1. PCR Team will work together to represent clients in the grant-related areas using their different skillset as needed to effectively address each client's needs. 2. Identify and contract with appropriate external resources to successfully represent each client's individual case. 3. Track clients, cases, tasks and outcomes.	1./ 2. Collateral Consequences, Reentry & Post-Conviction Relief Supervisor (IV) 2./ 3. PCR Team (attorneys, investigators, social workers, paralegals) Managed by Collateral Consequences, Reentry & Post-Conviction Relief Supervisor (IV)	March 2, 2024	March 1, 2025

Overview

The Santa Cruz County Office of the Public Defender (PDO) has used Public Defender Pilot Program (PDPP) funds to continue to identify clients, research and develop model practices, train staff, build and maintain a Post-Conviction Relief (PCR) Team to specialize in grant-related advocacy, and design and implement methods for tracking clients, cases, and outcomes. PDO opened on July 1, 2022, as the first ever County public defense agency in Santa Cruz County. PDO inherited the caseload, staff, and data management system of the private firm that had provided public defense services on a contract basis to Santa Cruz County for over 45 years. The firm had done almost no advocacy related to Penal Code sections 1172.1, 1172.6, 1437.7, and 3051. PDPP has allowed PDO to build a strong PCR Team to identify and represent clients who may benefit from advocacy in all these areas, build systems to represent these clients, engage in advocacy, and refine the technology needed to support this work.

Applicable Penal Codes

Penal Code sections 1172.1, 1172.6, 1473.7, and 3051.

Progress Toward Goals Identified in Original Application

PDO's original grant application centered goals in two broad categories—systems building and client advocacy. PDO has made progress toward reaching all stated goals. Year 2 activities continued to focus on refining the work in those two broad categories, but recognized the need for and value of expert support. Cohort III funding will enable PDO to complete the resentencing work begun in July 2022.

1. Creating a dedicated PCR Unit with primary responsibility for grant-related advocacy and ensuring that PCR unit has the education, skills and support necessary to provide services.

PDO's PCR Team is fully staffed and includes a Collateral Consequences & Reentry (CCR) Supervisor (Attorney IV) who supervises the PCR team, including the attorneys, investigators, social workers, and paralegals responsible for PDPP work. The team engages in daily advocacy to move the PDPP work forward.

2. Train PDO staff in advocacy related to all four categories.

The CCR Supervisor, Training, Equity and Diversity Director (TED), paralegals and social workers continue to participate in regional and statewide workgroups and

trainings focused on PCR and resentencing issues, using the knowledge they gain to finetune practices. Weekly all-staff briefings continue to inform the office on new laws and procedures, including issues related to PDPP advocacy. All incoming PDO staff participate in PCR related training, building awareness around PCR activities and processes. PCR training is part of a multi-day onboarding training for all professional staff when joining PDO.

3. Identify and contact clients and former clients who are potentially eligible for relief in all four categories.

PCR Team has identified and reached out to all eligible and potentially eligible clients for relief. However, with the change in AB600 around sentencing for 1172.1 there is the possibility for a number of new potentially eligible clients who can begin the resentencing process. PDO is already started to look through former cases and is preparing for the possibility of clients continuing to reach out.

4. Represent clients in proceedings related to all four categories.

The PCR Team has been actively working to represent clients in all four categories as follows:

- **1172.1:** Two cases in this category. One was completed and is back on appeal, the second PDO anticipates a hearing in 2024.
- **1172.6:** Represented eight eligible clients: 4 vacated, 2 on appeal, 1 denied, 1 won.
- **1473.7:** Represented initial nine clients which were all granted, there are an additional 11 active cases.
- **3051:** PDO continues to work with the resentencing of youthful offenders by creating social history documentation and 3 active cases around Heard Completed 5 social histories and will have an additional 7 completed before the end of Cohort II funding.

How Grant Funds Have Impacted Indigent Defense Services

Santa Cruz County went from providing virtually no services in the grant-funded areas to having a multidisciplinary PCR Team with expertise and training in all areas relevant to PDPP-funded activities. Regular training, both within and outside the PCR Team, has raised awareness of relief available in the PDPP-funded areas. The PCR Team

continues outreach efforts to inform potential clients of their rights and is actively engaging in grant-related advocacy.

How the Project Will Use All Unspent Grant Funds from the Initial Grant Award

The Public Defender has met with the PCR Team to set tangible goals for using unspent funds, with a focus on providing substantive advocacy in all four grant-funded areas. PDO will use unspent grant funds to continue to make progress toward reaching all stated goals. Now that PDO has a fully staffed PCR Team and systems in place, PDO is better equipped to engage in substantive advocacy. This includes identifying additional eligible clients in each category and litigating their cases to achieve vacatur, dismissals, or resentencing. PDO has recognized the benefit of bringing in external expert support for grant related activities to provide a more robust defense for resentencing eligible clients. PDO proposes to use unspent funds to support the work of external experts and an “Extra Help” attorney to provide the strongest possible defense for clients identified under Penal Code 1172.1. PDO will continue to use unspent funds to refine a more robust system for capturing and reporting advocacy and outcomes. Currently, PDO is using shared, Web-based spreadsheets to record and capture data. PDO has begun to integrate PDPP management into its case management system and will use unspent funds to work toward that goal. PDO will also use unspent funds to continue to refine and provide training to educate staff and stakeholders regarding relief available in the PDPP categories and resources available at PDO to provide advocacy and support in these areas. PDO is preparing for the possible influx of newly eligible clients based on changes to AB600. Unspent funds may be used to support the work around these newly identified clients.



**Public Defense Pilot Program
Budget Section**

Instructions for Completing the Public Defense Pilot Program Budget Table and Narrative

Applicants are solely responsible for the accuracy and completeness of the information entered in the Budget Section. All project costs must be directly related to the objectives and activities of the project. The Budget Table must cover the entire grant period.

- ▶ Enter the name of the Applicant at the top of the Project Budget worksheet in cell D3.
- ▶ Applicant's may only apply for the funding listed in the RFA. The total amount of funding each county is eligible to receive is provided on the Funding Allocation tab. Please request the **full amount** of funding next to your county name.
- ▶ Applicants are limited to the use of the budget line items listed. Applicants are not required to request funds for every line item. If no money is requested for a certain line item, enter "N/A" in the corresponding narrative.
- ▶ This workbook is protected. Applicants may only enter information in unshaded cells. All other cells in the Project Budget worksheet will auto populate based the Applicant's entries.
- ▶ The purpose of the narrative for each corresponding line item is to provide a narrative description of the item(s) and how the items and amounts requested will serve to meet the stated goals, objectives and planned activities of the project. To start a new paragraph within a narrative cell, hold down the Alt key and then press Enter.
- ▶ All funds must be used consistent with the requirements of the BSCC Grant Administration Guide, located on the BSCC website, including any updated version that may be posted during term of the Grant Agreement. The BSCC will notify grantees whenever an updated version is posted.

Budget Line Item Instructions

1. **Salaries and Benefits:** List the classification/title, percentage of time, salary or hourly rates, and benefits (if applicable) for each staff person that will be funded by the grant, either by the Applicant Agency or the Lead Public Agency (LPA). Briefly describe their roles/responsibilities within the Public Defense Pilot Program.
NOTE: Do not include Salaries and Benefits information for Professional Services or Public Agency Subcontracts, or Non-Governmental Organization Subcontracts in this section. Please use the applicable line item(s).
2. **Services and Supplies:** Include and itemize all services and supplies to be purchased with the Public Defense Pilot Award.
NOTE: Do not include Services and Supplies information for Professional Services or Public Agency Subcontracts, or Non-Governmental Organization Subcontracts in this section. Please use the applicable line item(s).
Be advised: Meals, snacks, incentives and participant support items require separate and prior approval by the BSCC, even if included here.

3. **Professional Services or Public Agency Subcontracts:** List the names of any public agencies or professional consultants that will work on the project. Show the amount of funds allocated to each and itemize the services that will be provided. List any positions to be funded, including classification/title, percentage of time, salary or hourly rates, and benefits (if applicable).
4. **Non-Governmental Organization (NGO) Subcontracts:** List the names of all NGOs that will work on the project. Show the amount of funds allocated to each and itemize the services that will be provided. Include any positions to be funded, including classification/title, percentage of time, salary or hourly rates, and benefits (if applicable). If an NGO partner has not been selected as of the date of the submission of the application, identify the amount of grant funds that will be allocated and describe the services to be provided.
Be advised: Meals, snacks, incentives and participant support items require separate and prior approval by BSCC, even if included here.
5. **Equipment and Fixed Assets:** Include grant funds associated with equipment and fixed assets purchased by the Applicant. Equipment and fixed assets are defined as nonexpendable personal property having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit. Items that do not meet this threshold should be included in the Services and Supplies category. Itemize all equipment and fixed assets to be purchased by the by the Applicant Agency or the Lead Public Agency (LPA).
NOTE: Do not include Equipment and Fixed Assets information for Professional Services or Public Agency Subcontracts, or Non-Governmental Organization Subcontracts in this section. Please use the applicable line item(s).
Be advised: Equipment and fixed assets over \$5,000 require separate and prior approval by the BSCC, even if included here.
6. **Other (Travel, Training, Etc.):** Itemize all costs that do not fit into the categories listed above, including travel and training. For this line item, include "other" costs for use by the Applicant Agency or the Lead Public Agency (LPA).
NOTE: Do not include "Other" costs for Professional Services or Public Agency Subcontracts, or Non-Governmental Organization Subcontracts in this section. Please use the applicable line item(s).
Be advised: Out-of-state travel using grant funding is permissible in rare cases. The use of state funds for out-of-state travel is monitored very closely and granted only in limited cases. Out-of-state travel included in the proposed budget does not guarantee automatic approval; out-of-state travel requests require separate and prior approval by the BSCC.
7. **Indirect Costs:** Indirect costs are shared costs that cannot be directly assigned to a particular activity but are necessary to the operation of the organization and the performance of the project. For this grant program, indirect costs may be charged to grant funds using only one of the following options:

> Grantees may use their federally approved Negotiated Indirect Cost Rate (derived from a federal formula) to seek reimbursement for indirect costs; **OR**



Public Defense Pilot Program - Project Budget and Budget Narrative

Applicant: Santa Cruz Count Office of the Public Defender (316,640)

12-Month Budget: March 2, 2024 to March 1, 2025

Budget Line Item	Total
1. Salaries and Benefits	\$259,436
2. Services and Supplies	\$12,174
3. Professional Services or Public Agency Subcontracts	\$19,031
4. Non-Governmental Organization (NGO) Subcontracts	\$0
5. Equipment/Fixed Assets	\$0
6. Other (Travel, Training, etc.)	\$26,000
7. Indirect Costs	\$0
TOTAL	\$316,640

1. Salaries and Benefits

Name and Title	(% FTE <u>or</u> Hourly Rate) & Benefits	Grant Funds
Example (Hourly): Bob Smith, Fiscal Manager	\$60/hour x 10 hrs/month x 3 years = \$21,600 + benefits @ 22%: \$4,752 =	\$0
Example (FTE): Jane Doe, Counselor	.25 FTE @ \$60,000 x 3 years = \$45,000 + benefits @ 22%: \$9,900 =	\$0
Public Defender	0.022% FTE \$126.85 (Salary) & \$50.11 (Benefits)	\$5,863
Chief Deputy Public Defender	0.029% FTE \$117.42 (Salary) & \$33.25 (Benefits)	\$7,182
4 - Attorney IV @ 106.58 (Salary)	0.603% FTE \$106 (Salary) % \$40.48 (Benefits)	\$133,762
3 - Attorney IV @ 92.07	0.26% FTE \$92.07 (Salary) & \$39.36 (Benefits)	\$49,969
3 - Attorney III @86.92	0.083% FTE \$86.92 (Salary) & \$26.52 (Benefits)	\$14,969
Chief Investigator	.004% FTE 74.62 (Salary) & \$34.50 (Benefits)	\$655
Additional Staff, Paralegals, social workers, administrative investigators	Additional FTE	\$47,036
TOTAL		\$259,436

Salaries and Benefits Budget Narrative:

Additional staff for Salaries and benefits: 5 - Paralegals @ 35.49; 2 - Social Workers @ 29.74; 1 - Senior Social Worker/Director of Holistic Defense @ 74.62; 2- Investigator @ \$59.55; 1 - Administrative Aide @ \$34.64; 1 Analyst @ \$53.91; 1- Developer III @ \$64.60

2. Services and Supplies

Description of Services or Supplies	Calculation for Expenditure	Grant Funds
Printing (including C-files, court transcripts, other)	Standard printing 125 pages @ \$.01/page/client for 10 clients, transcripts Approx \$20/client, files approximately \$20/client, 8000+ page trial documents (x2) for case (\$1,500 tota)	\$1,914
mailing (including envelopes, stamps and paper)	\$6/packet/client	\$60
Client Communications (Getting Out App)	\$10 increments for 10 refils	\$100
Books	\$20/book (sent through Amazon) per client	\$100
Traslation/interpretation	\$80/page (translation) or \$1/minute (interpretation)	\$10,000
		\$0
		\$0
TOTAL		\$12,174

PDO is working with clients to document social histories, support their self-improvement and communicate about their resentencing cases. PDO expects to send improvement books for clients to read and prepare a report about learnings. Each book would be sent from Amazon and be followed by a stamped return envelop and paper. As each client is being identified, PDO prints (hard copy) materials of each client. In house printing is \$.01/page and client files average 125 pages. Occasional interpretation and translation services are required. PDO has contracted with Peritus and Language line when there is no PDO staff available to perform this service. The Getting Out app is used for client communications. Cards are charged at \$10/charge and last up to 2 months.

3. Professional Services or Public Agency Subcontracts

Description of Professional Services	Calculation for Expenditure	Grant Funds
Experts (social history, mitigation and/or c-file review)		\$19,031
		\$0
		\$0
		\$0
		\$0
		\$0
		\$0
		\$0
		\$0
		\$0
TOTALS		\$19,031

Professional Services or Public Agency Subcontracts Budget Narrative:

Expert services average \$250/hour for C-file or social history review. 76 hours at \$250/hour = \$19,000 (pdo was unable to write in the Calculation of Expenditure cells for this report). PDO expect to continue to contract with social historians and other experts to review and write client files in order to support work relevant to Penal Code sections 1171.1 and section 3051. PDO estimates that up to four clients in this final year of this contract will need additional expert support for a total of 32 hours @ \$250/hour the average contract rate paid to PDO expert services. In addition to social history, experts will be engaged for evaluation and understanding of emotional and mental states, in addition to support around 1473 immigration implications.

4. Non-Governmental Organization (NGO) Subcontracts

Government agencies and Indian Tribes- 70% pass-through required. NGOs and Indian Tribes providing direct services are exempt but are permitted to do so.

Description of Subcontract	Calculation for Expenditure		Grant Funds
			\$0
			\$0
			\$0
			\$0
			\$0
			\$0
			\$0
			\$0
			\$0
			\$0
	TOTALS		\$0

Non-Governmental Organization (NGO) Subcontracts Budget Narrative:

5. Equipment/Fixed Assets

Description of Equipment/Fixed Asset	Calculation for Expenditure	Grant Funds
		\$0
		\$0
		\$0
		\$0
		\$0
TOTAL		\$0

Equipment/Fixed Assets Budget Narrative

6. Other (Travel, Training, etc.)

Description	Calculation for Expenditure	Grant Funds
Training	10 training opportunities ranging between \$1000 - \$4,000 including travel, hotel and per diem OR access to virtual training sessions which average \$350 - 700/session.	\$26,000
		\$0
		\$0
		\$0
		\$0
		\$0
TOTAL		\$26,000

Other (Travel, Training, etc.) Budget Narrative:

Training opportunities like CPAD's Capital Defense seminar, NAPD's History Matters or NAPD's We the Defenders workshops aimed at building skills in resentencing work. Training is a combination of virtual and in person training depending on opportunities and always follow US GSA travel guidelines. Out of state training offers PDO additional opportunities to train staff specifically around racial justice and mitigation. PDO works to identify local and on-line training opportunities, but some sessions, like the NACLD's Racial Justice conference or Seattle's Team Mitigation Defense practices have no local or instate equivalents. From the budget concerns around Cohort III it feels unlikely that the State will renew PDPP funding to continue this important work around post conviction relief, so a main goal of PDO is to provide our PCR team and the staff attorneys, social workers and investigators with the knowledge and back ground to continue this work even when funding is over. Thus we are asking to invest much of the additional funds into providing both instate and out of state learning opportunities.

7. Indirect Costs

For this grant program, indirect costs may be charged using only <u>one</u> of the two options below:				Grant Funds
Option 1) Indirect costs will be charged using a Federally approved Negotiated Indirect Cost Rate (NICR) <u>not to exceed 20%</u> . Enter your approved NICR percentage as a number (e.g., 12.5, 15, 22) in cell E162. Enter your calculated cost base in cell F162 (the total of all budget line items allowed as indicated in your federally approved NICR). Your project indirect costs cannot exceed the total shown in cell G162. If using Option 1, enter the amount to be charged to indirect costs in cell H162.				
	0.00%	\$0	\$0	\$0

Option 2) Indirect costs will be charged up to 10% of all grant funded direct costs. If using this option, your project indirect costs cannot exceed the total shown in cell G185. Enter the amount to be charged to indirect costs in cell H185.	10%	\$316,640	\$31,664	\$0
If the amount entered in either H162 or H164 turns red, adjust it to not exceed the line-item limits noted in G162 and G164 : TOTAL				\$0
Indirect Costs Budget Narrative:				

2023 Funding Allocation	
County	Funding Allocation
Alameda County	\$1,685,500.39
Alpine County	\$1,291.62
Amador County	\$45,069.51
Butte County	\$218,230.56
Calaveras County	\$49,358.80
Colusa County	\$21,392.82
Contra Costa County	\$1,177,162.05
Del Norte County	\$27,254.80
El Dorado County	\$201,673.48
Fresno County	\$967,609.41
Glenn County	\$28,491.51
Humboldt County	\$141,633.96
Imperial County	\$163,008.48
Inyo County	\$19,994.00
Kern County	\$861,025.73
Kings County	\$143,197.51
Lake County	\$70,090.12
Lassen County	\$30,998.93
Los Angeles County	\$9,887,652.16
Madera County	\$153,031.09
Marin County	\$270,682.46
Mariposa County	\$18,642.24
Mendocino County	\$91,964.03
Merced County	\$276,484.30
Modoc County	\$9,572.12
Mono County	\$14,011.75
Monterey County	\$417,160.00
Napa County	\$143,895.61
Nevada County	\$110,858.58
Orange County	\$3,208,878.65

Placer County	\$432,709.15
Plumas County	\$21,154.89
Riverside County	\$2,463,459.65
Sacramento County	\$1,571,024.48
San Benito County	\$67,382.68
San Bernardino County	\$2,142,592.18
San Diego County	\$3,304,963.32
San Francisco County	\$900,250.26
San Joaquin County	\$776,513.37
San Luis Obispo County	\$305,286.95
San Mateo County	\$746,811.29
Santa Barbara County	\$453,256.14
Santa Clara County	\$1,913,212.87
Santa Cruz County	\$284,371.30
Shasta County	\$186,077.27
Sierra County	\$3,606.87
Siskiyou County	\$46,724.57
Solano County	\$453,033.90
Sonoma County	\$507,425.84
Stanislaus County	\$531,448.96
Sutter County	\$98,599.93
Tehama County	\$65,262.22
Trinity County	\$17,812.10
Tulare County	\$442,770.21
Tuolumne County	\$57,971.35
Ventura County	\$836,308.42
Yolo County	\$235,144.54
Yuba County	\$79,008.59
Grand Total	\$39,400,000.00

Certificate Of Completion

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Athena.Reis@santacruzcountyca.gov

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